## Senate



General Assembly

File No. 169

February Session, 2014

Substitute Senate Bill No. 179

Senate, March 27, 2014

The Committee on Aging reported through SEN. AYALA, A. of the 23rd Dist., Chairperson of the Committee on the part of the Senate, that the substitute bill ought to pass.

## AN ACT CONCERNING THE ALZHEIMER'S DISEASE AND DEMENTIA TASK FORCE'S RECOMMENDATIONS ON TRAINING.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. Section 19a-522c of the 2014 supplement to the general
- 2 statutes is repealed and the following is substituted in lieu thereof
- 3 (*Effective October 1, 2014*):
- 4 (a) A nursing home administrator of a chronic and convalescent
- 5 nursing home or a rest home with nursing supervision shall ensure
- 6 that all facility staff receive annual in-service training in an area
- 7 specific to the needs of the patient population at such facilities,
- 8 including patients' fear of retaliation from employees or others. A
- 9 nursing home administrator shall ensure that any person conducting
- the in-service training is familiar with needs of the patient population
- at the facility, provided such training need not be conducted by a
- qualified social worker or qualified social worker consultant. A nursing home administrator shall ensure that the in-service training in
- nursing home administrator shall ensure that the in-service training in

14 patients' fear of retaliation includes discussion of (1) patients' rights to

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15 file complaints and voice grievances, (2) examples of what might

- 16 constitute or be perceived as employee retaliation against patients, and
- 17 (3) methods of preventing employee retaliation and alleviating
- patients' fear of such retaliation. [In accordance with section 19a-36, the
- 19 Commissioner of Public Health shall amend the Public Health Code in
- 20 conformity with the provisions of this section.]
- 21 (b) A nursing home administrator of a chronic and convalescent
- 22 nursing home or a rest home with nursing supervision shall designate
- 23 one staff person in each such home to review and make
- 24 recommendations to the administrator concerning residents with
- 25 dementia, including, but not limited to: (1) Factors which affect person-
- 26 centered care, (2) wellness indicators, and (3) staff training programs
- 27 for dementia care capability. The designated staff person shall monitor
- 28 <u>implementation of approved recommendations.</u>
- 29 (c) A nursing home administrator of a chronic and convalescent
- 30 <u>nursing home or a rest home with nursing supervision shall ensure</u>
- 31 that all facility staff receive training upon employment and annually
- 32 <u>thereafter in Alzheimer's disease and dementia symptoms and care.</u>
- 33 (d) In accordance with section 19a-36, the Commissioner of Public
- 34 <u>Health shall amend the Public Health Code to implement the</u>
- 35 provisions of this section.
- 36 Sec. 2. (NEW) (Effective October 1, 2014) Each home health agency,
- 37 residential care home and assisted living services agency, as those
- 38 terms are defined in section 19a-490 of the general statutes, and each
- 39 licensed hospice care organization operating pursuant to section 19a-
- 40 122b of the general statutes shall provide training and education on
- 41 Alzheimer's disease and dementia symptoms and care to all staff
- 42 providing direct care upon employment and annually thereafter. The
- 43 Commissioner of Public Health shall adopt regulations, in accordance
- 44 with the provisions of chapter 54 of the general statutes, to implement
- 45 the provisions of this section.
- Sec. 3. Subsection (b) of section 17a-227 of the general statutes is

47 repealed and the following is substituted in lieu thereof (*Effective* 48 *October* 1, 2014):

- (b) The commissioner shall adopt regulations, in accordance with the provisions of chapter 54, to insure the comfort, safety, adequate medical care and treatment of such persons at the residential facilities described in subsection (a) of this section. Such regulations shall include requirements that: (1) All residential facility staff be certified in cardiopulmonary resuscitation in a manner and time frame prescribed by the commissioner; (2) records of staffing schedules and actual staff hours worked, by residential facility, be available for inspection by the department upon advance notice; (3) each residential facility develop and implement emergency plans and staff training to address emergencies that may pose a threat to the health and safety of the residents of the facility; (4) department staff verify during quality service reviews and licensing inspections, that (A) staff is adequately trained to respond in an emergency, and (B) a summary of information on each resident is available to emergency medical personnel for use in an emergency; [and] (5) all residential facilities serving persons with Down syndrome fifty years of age or older have at least one staff member trained in Alzheimer's disease and dementia symptoms and care; and (6) not less than one-half of the quality service reviews, licensing inspections or facility visits conducted by the department after initial licensure are unannounced.
- Sec. 4. Section 19a-562a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2014*):
  - (a) Each nursing home facility that is not a residential care home or an Alzheimer's special care unit or program shall annually provide a minimum of two hours of training in pain recognition and administration of pain management techniques to all licensed and registered direct care staff and nurse's aides who provide direct patient care to residents.
  - (b) Each Alzheimer's special care unit or program shall annually provide Alzheimer's and dementia specific training to all licensed and

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registered direct care staff and nurse's aides who provide direct patient care to residents enrolled in the Alzheimer's special care unit or program. Such requirements shall include, but not be limited to, (1) not less than eight hours of dementia-specific training, which shall be completed not later than six months after the date of employment or, if the date of employment is on or after the effective date of this section, not later than one hundred twenty days after the date of employment and not less than eight hours of such training annually thereafter, and (2) annual training of not less than two hours in pain recognition and administration of pain management techniques for direct care staff.

- (c) Each Alzheimer's special care unit or program shall annually provide a minimum of one hour of Alzheimer's and dementia specific training to all unlicensed and unregistered staff, except nurse's aides, who provide services and care to residents enrolled in the Alzheimer's special care unit or program. For such staff hired on or after October 1, 2007, such training shall be completed not later than six months after the date of employment and, for such staff hired on or after the effective date of this section, not later than one hundred twenty days after the date of employment.
- 99 Sec. 5. Subsection (b) of section 19a-512 of the general statutes is 100 repealed and the following is substituted in lieu thereof (*Effective November 1, 2014*):
- (b) Minimum education and training requirements for applicants forlicensure are as follows:
  - (1) Each person other than an applicant for renewal, applying prior to February 1, 1985, shall have completed: (A) A program so designed as to content and so administered as to present sufficient knowledge of the needs to be properly served by nursing homes, laws and regulations governing the operation of nursing homes and the protection of the interest of patients therein and the elements of good nursing home administration, or presented evidence satisfactory to the Department of Public Health of sufficient education and training in the foregoing fields; and (B) a one-year residency period under the joint

supervision of a duly licensed nursing home administrator in an authorized nursing home and an accredited institution of higher education, approved by said department, which period may correspond to one academic year in such accredited institution. The supervising administrator shall submit such reports as may be required by the department on the performance and progress of such administrator-in-training, on forms provided by the department. This subdivision shall not apply to any person who has successfully completed a program of study for a master's degree in nursing home administration or in a related health care field and who has been awarded such degree from an accredited institution of higher learning.

- (2) Each such person applying on or after February 1, 1985, in addition to the requirements of subdivision (1) of this subsection, shall either (A) have a baccalaureate degree in any area and have completed a course in long-term care administration approved by the department, or (B) have a master's degree in long-term care administration or in a related health care field approved by the commissioner.
- (3) Each such person applying on or after the effective date of this
  section, in addition to the requirements of subdivisions (1) and (2) of
  this subsection, shall have completed training in Alzheimer's disease
  and dementia symptoms and care.
- Sec. 6. Section 19a-513 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective November 1, 2014*):

In order to be eligible for licensure by endorsement pursuant to sections 19a-511 to 19a-520, inclusive, a person shall submit an application for endorsement licensure on a form provided by the department, together with a fee of two hundred dollars, and meet the following requirements: (1) Hold a current license in good standing as a nursing home administrator in another state that was issued on the basis of holding, at a minimum, a baccalaureate degree and having passed the examination required for licensure in such state; [and] (2) have practiced as a licensed nursing home administrator for not less than twelve months within the twenty-four-month period preceding

the date of the application; and (3) have received training or education

- in long-term care, including, but not limited to, Alzheimer's disease
- 148 and dementia symptoms and care or have certified, in writing,
- 149 <u>agreement to receive such training or education not later than one</u>
- 150 <u>hundred twenty days after license issuance</u>. No license shall be issued
- under this section to any applicant against whom disciplinary action is
- pending or who is the subject of an unresolved complaint.
- 153 Sec. 7. Subsection (b) of section 19a-515 of the general statutes is
- 154 repealed and the following is substituted in lieu thereof (Effective
- 155 *October 1, 2014*):
- 156 (b) Each licensee shall complete a minimum of forty hours of
- 157 continuing education every two years, including, but not limited to,
- 158 training in Alzheimer's disease and dementia symptoms and care.
- 159 Such two-year period shall commence on the first date of renewal of
- the licensee's license after January 1, 2004. The continuing education
- 161 shall be in areas related to the licensee's practice. Qualifying
- 162 continuing education activities are courses offered or approved by the
- 163 Connecticut Association of Healthcare Facilities, LeadingAge
- 164 Connecticut, Inc., the Connecticut Assisted Living Association, the
- 165 Connecticut Alliance for Subacute Care, Inc., the Connecticut Chapter
- 166 of the American College of Health Care Administrators, the
- 167 Association For Long Term Care Financial Managers, the Alzheimer's
- Association or any accredited college or university, or programs
- presented or approved by the National Continuing Education Review
- 170 Service of the National Association of Boards of Examiners of Long
- 171 Term Care Administrators, or by federal or state departments or
- 172 agencies.
- 173 Sec. 8. Subsection (a) of section 19a-519 of the general statutes is
- 174 repealed and the following is substituted in lieu thereof (Effective
- 175 October 1, 2014):
- 176 (a) The Commissioner of Public Health shall adopt regulations, in
- accordance with the provisions of chapter 54, with respect to standards
- 178 for: (1) Approval of institutions of higher education, (2) course or

degree requirements, or both, for licensing and renewal of licenses, which requirements shall include, but not be limited to, nursing home administration, management behavior, financial management, business administration, psychosocial behavior, [and] gerontology, Alzheimer's disease and dementia, (3) the residency training program, and (4) reinstatement of individuals who fail to renew their licenses upon expiration, as provided in section 19a-515, to carry out the provisions of sections 19a-511 to 19a-520, inclusive.

- Sec. 9. Subsection (b) of section 20-10b of the 2014 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2014*):
- (b) Except as otherwise provided in subsections (d), (e) and (f) of this section, a licensee applying for license renewal shall earn a minimum of fifty contact hours of continuing medical education within the preceding twenty-four-month period. Such continuing medical education shall (1) be in an area of the physician's practice; (2) reflect the professional needs of the licensee in order to meet the health care needs of the public; and (3) during the first renewal period in which continuing medical education is required and not less than once every six years thereafter, include at least one contact hour of training or education in each of the following topics: (A) Infectious diseases, including, but not limited to, acquired immune deficiency syndrome and human immunodeficiency virus, (B) risk management, (C) sexual assault, (D) domestic violence, (E) cultural competency, [and] (F) behavioral health, and (G) Alzheimer's disease and dementia symptoms and care. For purposes of this section, qualifying continuing medical education activities include, but are not limited to, courses offered or approved by the American Medical Association, American Osteopathic Medical Association, Connecticut Hospital Association, Connecticut State Medical Society, county medical societies or equivalent organizations in another jurisdiction, educational offerings sponsored by a hospital or other health care institution or courses offered by a regionally accredited academic institution or a state or local health department. The commissioner may grant a waiver for not

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213 more than ten contact hours of continuing medical education for a

- 214 physician who: (i) Engages in activities related to the physician's
- 215 service as a member of the Connecticut Medical Examining Board,
- 216 established pursuant to section 20-8a; (ii) engages in activities related
- 217 to the physician's service as a member of a medical hearing panel,
- 218 pursuant to section 20-8a; or (iii) assists the department with its duties
- 219 to boards and commissions as described in section 19a-14.
- Sec. 10. Subsection (b) of section 7-2940 of the general statutes is
- 221 repealed and the following is substituted in lieu thereof (Effective
- 222 *October 1, 2014*):
- 223 (b) Each police basic or review training program conducted or
- 224 administered by the Division of State Police within the Department of
- 225 Emergency Services and Public Protection, the Police Officer Standards
- 226 and Training Council or a municipal police department shall include
- training in (1) the policy developed pursuant to subsection (a) of this
- 228 section, [and training in] (2) the use of the National Missing and
- 229 Unidentified Persons System created by the Office of Justice Program's
- 230 National Institute of Justice, and (3) cognitive disorders and diseases,
- 231 <u>including</u>, but not limited to, Alzheimer's disease and dementia
- 232 <u>symptoms and care</u>.
- Sec. 11. Subdivision (6) of subsection (b) of section 17b-403 of the
- 234 2014 supplement to the general statutes is repealed and the following
- is substituted in lieu thereof (*Effective October 1, 2014*):
- 236 (6) Provide administrative and technical assistance to
- 237 representatives [to assist the representatives in participating in the
- 238 program] and training in areas including, but not limited to,
- 239 <u>Alzheimer's disease and dementia symptoms and care;</u>
- Sec. 12. Section 45a-77 of the general statutes is amended by adding
- subsection (g) as follows (Effective October 1, 2014):
- 242 (NEW) (g) The Probate Court Administrator shall develop a plan to
- 243 offer training to probate judges, paid conservators and other

fiduciaries in diseases and disorders affecting the judgment of a person, including, but not limited to, Alzheimer's disease and dementia.

- Sec. 13. (NEW) (*Effective October 1, 2014*) The Commissioner of Social Services shall ensure that all employees assigned to the Department of Social Service's protective services for the elderly program who directly interact with elderly persons receive annual training in Alzheimer's disease and dementia symptoms and care.
- Sec. 14. Subsection (a) of section 19a-195a of the 2014 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2014*):
  - (a) The Commissioner of Public Health shall adopt regulations in accordance with the provisions of chapter 54 to provide that emergency medical technicians shall be recertified every three years. For the purpose of maintaining an acceptable level of proficiency, each emergency medical technician who is recertified for a three-year period shall complete thirty hours of refresher training approved by the commissioner, or meet such other requirements as may be prescribed by the commissioner. The refresher training or other requirements shall include, but not be limited to, training in Alzheimer's disease and dementia symptoms and care.

This act shall take effect as follows and shall amend the following sections:					
Section 1	October 1, 2014	19a-522c			
Sec. 2	October 1, 2014	New section			
Sec. 3	October 1, 2014	17a-227(b)			
Sec. 4	October 1, 2014	19a-562a			
Sec. 5	November 1, 2014	19a-512(b)			
Sec. 6	November 1, 2014	19a-513			
Sec. 7	October 1, 2014	19a-515(b)			
Sec. 8	October 1, 2014	19a-519(a)			
Sec. 9	October 1, 2014	20-10b(b)			
Sec. 10	October 1, 2014	7-294o(b)			

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Sec. 11	October 1, 2014	17b-403(b)(6)
Sec. 12	October 1, 2014	45a-77
Sec. 13	October 1, 2014	New section
Sec. 14	October 1, 2014	19a-195a(a)

AGE Joint Favorable Subst.

The following Fiscal Impact Statement and Bill Analysis are prepared for the benefit of the members of the General Assembly, solely for purposes of information, summarization and explanation and do not represent the intent of the General Assembly or either chamber thereof for any purpose. In general, fiscal impacts are based upon a variety of informational sources, including the analyst's professional knowledge. Whenever applicable, agency data is consulted as part of the analysis, however final products do not necessarily reflect an assessment from any specific department.

#### **OFA Fiscal Note**

### State Impact:

Agency Affected	Fund-Effect	FY 15 \$	FY 16 \$
Public Health, Dept.; Social	GF - None	None	None
Services, Dept.			
Department of Emergency	GF - Cost	Approximately	Approximately
Services and Public Protection		50,000	2,500

### Municipal Impact:

Municipalities	Effect	FY 15 \$	FY 16 \$
Various Municipalities	Potential	Approximately	Approximately
	Cost	50,000	2,500

## Explanation

The bill requires police basic and review training programs to include training on cognitive disorders and diseases. This provision is anticipated to result in a cost to the Department of Emergency Services and Public Protection of approximately \$50,000 in FY 15 for development of the training program. In FY 16, and annually thereafter, DESPP is anticipated to incur costs of less than \$2,500 to administer the training program.

To the extent that a municipality runs a police training program, the municipality may incur similar costs in the development and administration of such programs.

There is no fiscal impact to the Department of Public Health from requirements under the bill to amend the Public Health Code and regulations, nor is there any impact from the expansion of education requirements for certain applicants for licensure and licensure renewal.

Similarly, the bill is not anticipated to result in a cost to the Department of Social Services as the training provisions in the bill are either already being conducted as a matter of practice or can be accommodated within the current training process.

#### The Out Years

The annualized ongoing fiscal impact identified above would continue into the future subject to inflation.

## OLR Bill Analysis sSB 179

# AN ACT CONCERNING THE ALZHEIMER'S DISEASE AND DEMENTIA TASK FORCE'S RECOMMENDATIONS ON TRAINING.

#### **SUMMARY:**

This bill establishes mandatory Alzheimer's and dementia-specific training for a wide range of personnel, including physicians, emergency medical technicians (EMTs), police, probate judges, paid conservators, and protective services employees.

It requires staff in Alzheimer's special care units hired on or after October 1, 2014 to complete the currently required initial Alzheimer's and dementia-specific training within the first 120 days of employment. Under current law, the training must be completed within six months of employment.

EFFECTIVE DATE: October 1, 2014, except for the provisions on Alzheimer's training for nursing home administrator's license applicants (§§ 5 & 6), which are effective November 1, 2014.

#### § 1 — NURSING HOMES DEMENTIA PATIENTS

By law, nursing home administrators must ensure that all facility staff receive in-service training in an area specific to the needs of the patients. The bill specifically requires that they receive training in Alzheimer's disease and dementia symptoms and care upon employment and annually thereafter.

It requires the administrator to name an employee in each home to (1) review and make recommendations to him or her about residents with dementia and (2) monitor the implementation of approved recommendations. The recommendations must include (1) factors that affect person-centered care, (2) wellness indicators, and (3) programs to train staff to care for patients with dementia.

The bill also requires the Department of Public Health (DPH) commissioner to adopt implementing regulations.

### § 2 — NURSING HOME AND HOSPICE STAFF

The bill requires home health agencies, residential care homes, and assisted living services agencies and licensed hospice care organizations to provide to all staff providing direct care, upon employment and annually thereafter, training and education in Alzheimer's disease and dementia symptoms and care. It also requires the DPH commissioner to adopt implementing regulations.

## § 3 — STAFF SERVING PEOPLE WITH DOWN SYNDROME

The bill requires the developmental services commissioner's regulations to mandate that all residential facilities serving people age 50 or older with Down syndrome have at least one employee trained in Alzheimer's disease and dementia symptoms and care. This is in addition to other training and requirements under existing law.

## § 4 — ALZHEIMER'S UNIT STAFF

By law, all licensed and registered staff and nurses' aides who provide direct care to patients in Alzheimer's special care units or programs must receive Alzheimer's and dementia-specific training, including at least eight hours of dementia-specific training annually. Unregistered and unlicensed staff, except nurse's aides, who provide services and care to residents must complete one hour of such training annually.

The bill requires staff hired on or after October 1, 2014 to complete the initial training within the first 120 days of employment. Under current law, staff must complete this training within six months after being hired.

## §§ 5 & 6 — NURSING HOME ADMINISTRATOR NEW APPLICANT LICENSE

The bill requires anyone applying for a new nursing home administrator's license by examination to have completed training in Alzheimer's disease and dementia symptoms and care, in addition to

training and qualifications required by existing law.

It requires anyone applying for this license by endorsement to (1) have training or education in long-term care, including Alzheimer's and dementia symptoms and care, or (2) certify, in writing, that he or she will get such training not later than 120 days after being licensed.

## § 7 — NURSING HOME ADMINISTRATORS CONTINUING EDUCATION

The bill requires nursing home administrators to take training in Alzheimer's disease and dementia symptoms as part of the 40-hour continuing education training they must take every two years under existing law. It adds courses offered by the Alzheimer's Association to the qualifying continuing education courses.

# § 8 — NURSING HOME ADMINISTRATOR COURSE REQUIREMENTS

The bill requires the DPH commissioner to include Alzheimer's disease and dementia in the course or degree requirements for licensure of nursing home administrators. Among the other existing requirements are management behavior, nursing home administration psychosocial behavior, and gerontology.

#### § 9 — PHYSICIANS

The bill requires that the continuing medical examination (CME) for physicians include training or education on Alzheimer's disease and dementia symptoms and care. By law, physicians, unless exempt, must take one contact hour in specified topics during the first renewal period for which CME is required (the second license renewal), and once every six years after that. The mandatory topics for CME currently include infectious diseases, risk management, sexual assault, domestic violence, and cultural competency.

## § 10 — POLICE OFFICERS

The bill requires police initial and review training programs to include training in cognitive disorders and diseases, including Alzheimer's disease and dementia symptoms and care. It applies to the

State Police, Police Officer Standards and Training Council, and municipal police departments' training programs.

## § 11 — REPRESENTATIVES OF NURSING HOME AND LONG-TERM REHABILITATION FACILITIES

The bill requires the state long-term care ombudsman to provide training to representatives of residents in nursing homes and residential care facilities in areas such as Alzheimer's disease and dementia symptoms and care. By law, a "representative" is a regional ombudsman, a resident's advocate, or an employee of the Long-Term Care Ombudsman Office designated by the ombudsman.

## § 12 — PROBATE JUDGES, CONSERVATORS, AND FIDUCIARIES

The bill requires the probate court administrator to develop a plan to train probate judges, paid conservators, and other fiduciaries in diseases and disorders affecting a person's judgment, including Alzheimer's disease and dementia.

### § 13 — PROTECTIVE SERVICES FOR THE ELDERLY PROGRAM

The bill requires the Department of Social Services (DSS) commissioner to ensure that all employees assigned to DSS' protective services for the elderly program who interact directly with seniors receive annual training in Alzheimer's disease and dementia symptoms and care.

### § 14 — EMTs

The bill requires that the 30 hours of refresher training required every three years for EMT recertification include training in Alzheimer's disease and dementia symptoms and care.

#### COMMITTEE ACTION

Aging Committee

Joint Favorable Substitute Yea 12 Nay 0 (03/11/2014)